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<b>PTO</b> AUG 30 2001 <b>TRADEMARK OFFICE</b> <i>(to be used for all correspondence after initial filing)</i>	<b>TRANSMITTAL FORM</b>	Application Number	09/529,759
		Filing Date	April 18, 2000
		First Named Inventor	Vivier
		Group Art Unit	1655
		Examiner Name	A. Chakrabarti
Total Number of Pages in This Submission		Attorney Docket Number	A33131 PCT-USA067858.0101

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks <input type="checkbox"/>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	
Signature	<i>Carmella L. Stephens</i>	Att Name: Carmella L. Stephens PTO Reg: 41,328
Date	August 28, 2001	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 28, 2001		
Typed or printed name	Carmella L. Stephens	
Signature	<i>Carmella L. Stephens</i>	Date August 28, 2001

**BAKER BOTTS** LLP

Attorney Docket Number: A33131 PCT-USA067858.0101

Title:

DOCUMENTATION MEANS FOR REPERTOIRES OF NKR IMMUNORECEPTORS AND/OR ACTIVATORY OR  
NON-INIHIBITORY IMMUNORECEPTOR COUNTERPARTS OR NKR IMMUNORECEPTORS



Use Space Below for Additional Information:

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# **FEE TRANSMITTAL** **for FY 2001**

AUG 30 2001

Patent fees are subject to annual revision.

AMOUNT OF PAYMENT

(\$ 890

Complete if Known

SEP 05 2001

Application Number	09/529,759
Filing Date	April 18, 2000
First Named Inventor	Vivier
Examiner Name	A. Chakrabarti
Group Art Unit	1655
Attorney Docket No.	A33131 PCT-USA067858.0101

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**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

02-4377

Deposit  
Account  
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description	Fee Paid
710	355	Utility filing fee	
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$ 0

**2. EXTRA CLAIM FEES**

Extra Claims Fee from below Fee Paid

Total Claims  - 20 \*\* =  X  =  0

Independent Claims  - 3 \*\* =  X  =  0

Multiple Dependent  =

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
80	40	Independent claims in excess of 3
270	135	Multiple dependent claim, if not paid
80	40	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	890
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 890

**SUBMITTED BY**

Name (Print/Type)	Carmella L. Stephens	Registration No. (Attorney/Agent)	41,328	Telephone	(212) 408-2539
Signature	<i>Carmella L. Stephens</i>	Date	August 28, 2001		

Complete (if applicable)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vivier

Serial No.: 09/529,759

Examiner: Chakrabarti, A.

Filed : April 18, 2000

Group Art Unit: 1655

For : DOCUMENTING REPERTOIRES OF NKR  
IMMUNORECEPTORS AND/OR OF ACTIVATORY OR  
NON-INHIBITORY IMMUNORECEPTOR COUNTERPARTS  
OF NKR IMMUNORECEPTORSAMENDMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

August 28, 2001

Date of Deposit

Carmella L. Stephens

Attorney Name

*Carmella L. Stephens*

Signature

41,328

PTO Reg. No.

August 28, 2001

Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action received in connection with the above-identified application, Applicants provide the following amendments and remarks.